DT03 Rec'd PCT/PTO 2 8 DEC 2004

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE to a collection of information unless it displays a valid OMB control number.

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Under the Paperwork Reduction Act of 1999	THE HAITED STATES	ATTORNEY'S DOCKET NUMBER						
TRANSMITTAL LETTER TO	OFFICE (DO/FO/US)	3556 P 006 U.S. APPLICATION NO. (If known, see 37 CFR 1.5)						
CONCERNING A SUBMISSIO	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED						
INTERNATIONAL APPLICATION NO.	INTERNATIONALITA	29 June 2002						
PCT/GB2003/002743	27 June 2003							
TITLE OF INVENTION A PHASE SHIFTING DEVICE								
APPLICANT(S) FOR DO/EO/US		il a information						
Christopher DAVIES Designated/Elected Office (DO/EO/US) the following items and other information.								
APPLICANT(S) FOR DO/EO/US Christopher DAVIES Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
The submission of items concerning a submission under 55 5 5 5								
The indicates of items concerning a submission under 33 0.0.0.								
The process request to begin national examination procedures (35 U.S.C. 37 (1)).								
3. (5), (6), (9) and (21) indicated below.								
4. X The US has been elected (Article 31)								
A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2))								
a. is attached hereto (required only if not communicated by the International distribution and its attached hereto (required only if not communicated by the International distribution and its attached hereto (required only if not communicated by the International distribution and its attached hereto (required only if not communicated by the International distribution and its attached hereto (required only if not communicated by the International distribution and its attached hereto (required only if not communicated by the International distribution and its attached hereto (required only if not communicated by the International distribution and its attached hereto (required only if not communicated by the International distribution and its attached hereto (required only if not communicated by the International distribution and its attached hereto (required only if not communicated by the International distribution and its attached by the Internation and its attached by the International distribution and its att								
Fig. 1. a communicated by the International Bureau.								
b. X has been communicated by what h								
c. is not required, as the approximation of the International Application as filed (35 U.S.C. 371(c)(2)). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).								
a is attached hereto.								
The support support of the support o								
TV the claims of the International Application under PCT Article 19 (35 0.3.6. 37 (6)(4))								
a. are attached hereto (required only if not communicated by the International Bureau).								
b. have been communic	ated by the International Bureau.	t cashs has NOT expired.						
7 c. have not been made;	however, the time limit for making such amendments has NOT expired.							
d. 🔀 have not been made	The made will not be made.							
8. An English language translation	The section topquage translation of the amendments to the claims under PCT Article 19 (35 0.3.0. 57 (6)(5))							
	(a) (25 H S C: 371(c)(4)).	l l						
10. An English language translation Article 36 (35 U.S.C. 371(c)(5))	n of the annexes of the International Preim	ninary Examination Report San						
Items 11 to 20 below concern docum	nent(s) or information included:							
· · · · · · · · · · · · · · · · · · ·	27 CER 1 97 and 1.90.	27 CER 3 28 and 3.31 is included.						
12. An assignment document for n	ecording. A separate cover sheet in comp	liance with 37 CFR 3.28 and 3.31 is included.						
13. A preliminary amendment.								
14. An Application Data Sheet und	der 37 CFR 1.76.							
15. A substitute specification.								
A semputor-readable form of the sequence listing in accordance with PC1 Rule 13to 2 and								
Application under 35 U.S.C. 154(d)(4)								
19. A second copy of the English	A second early of the English language translation of the international application dried.							

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete USPTO. Time will vary depending upon the individual case. Any comments on the amount including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. 2. Patent and Trademark Office, U.S. of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. 2. Patent and Trademark Office, U.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER					
LU/519634 PCT/GB2003/002743			3556 P 006					
21. The following fees are submitted:				Applicant use	Office use only			
					\$300.00			
x b) Examina	ation fee			\$200.00	\$200.00			
X c) Search f	iee			\$500.00	\$500.00			
TOTAL OF ABOVE CALCULATIONS = \$1000.00					\$1000.00			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each						- 17		
	on sheets of paper or fract		1					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE				
15 - 100 =	0 /50 =			x \$250.00	\$.			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$			
CLAIMS	NUMBER FIL	_ED	NUMBER EXTRA	RATE	\$			
Total claims	11	- 20 =		× \$50.00	\$			
Independent clai		- 3 =		× \$200.00	\$			
MULTIPLE DEP	ENDENT CLAIM(S) (if ap	plicable)		+ \$360.00	\$.			
			TOTAL OF ABOVE CA	ALCULATIONS =	\$			
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.					\$			
SUBTOTAL =					\$			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1 492(f))					\$			
claimed priority date (37 CFR 1.492(f)). + TOTAL NATIONAL FEE =					\$1000.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied					\$			
by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +								
TOTAL FEES ENCLOSED =					\$1000.00			
Amount to be refunded:						\$		
Amount to be charged						\$		
a. X A chec	ck in the amount of \$ 10	00.00	to cover the above	fees is enclosed.				
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit								
Account No.23-0280 A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not								
d. Li Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
SEND ALL CORRESPONDENCE TO:								
Monique A. MORNEAULT, Esq.								
WALLENSTEIN WAGNER & ROCKEY, LTD. SIGNATURE SIGNATURE								
					MORNEAULT'			
Tel No 312-554-3300						_		
Fax No. 312-554-3301 37,893								
1	REGISTRATION NUMBER							

In re U.S. National Filing of: ALAN DICK & CO. LIMITED

Inventor:

Christopher DAVIES

For:

A PHASE SHIFTING DEVICE

THIS APPLICATION CLAIMS PRIORITY FROM PCT/GB2003/002743 FILED: 27 June 2003 and BRITISH APPLN. NO. 0215087.8 FILED 29 June 2002

Attorney Docket No.:

3556 P 006

ENCLOSED:

- CHECK IN THE AMOUNT OF \$1000.00
- 2-PAGE TRANSMITTAL LETTER TO UNITED STATES DESIGNATED/ELECTED OFFICE CONCERNING A FILING UNDER 35 USC §371
- PCT APPLICATION AS PUBLISHED
- EXECUTED DECLARATION
- POWER OF ATTORNEY
- PRELIMINARY AMENDMENT
- RETURN RECEIPT POSTCARD

I HEREBY CERTIFY THAT THIS PAPER AND THE ABOVE DOCUMENTS ARE BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS EXPRESS MAIL, POSTAGE PREPAID, IN AN ENVELOPE ADDRESSED TO MAIL STOP PCT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON December 28, 2004 UNDER EXPRESS MAIL NO. EV 497691735 US.

PLEASE ADDRESS ALL FUTURE COMMUNICATIONS TO:

Natha Conerly

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